Quantum Fourier transform beyond Shor's algorithm

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Day 2 – Quantum Phase Estimation & Connecting Discrete and Continuous Fourier Transforms

Quantum Phase Estimation

Given a (black-box) unitary *U* and one of its eigenvectors $|\psi\rangle$ with unknown eigenvalue $e^{2\pi i \varphi}$ we would like to learn the phase $\varphi \in [0, 1)$ by implementing a map $|\psi\rangle|0\rangle \rightarrow |\psi\rangle|\varphi\rangle$.

Phase estimation circuit when $arphi=0.arphi_1arphi_2\ldotsarphi_n$ has (at most) n-bits



$$|\psi\rangle|0\rangle^{\otimes n} \xrightarrow{H^{\otimes n}} \frac{1}{\sqrt{N}} \sum_{t=0}^{N-1} |\psi\rangle|t\rangle \xrightarrow{\sum_{t=0}^{N-1} U^t \otimes |t\rangle \langle t|} \frac{1}{\sqrt{N}} \sum_{t=0}^{N-1} U^t |\psi\rangle|t\rangle = |\psi\rangle \underbrace{\frac{1}{\sqrt{N}} \sum_{t=0}^{N-1} e^{2\pi i \varphi t}|t\rangle}_{QFT_{\psi}^{-1}|N \cdot \varphi\rangle}$$

Quantum Phase Estimation – arbitrary phases

Computing the amplitudes for general φ

$$\begin{aligned} \frac{1}{\sqrt{N}} \sum_{t=0}^{N-1} e^{2\pi i \varphi t} |t\rangle & \stackrel{\text{QFT}_{N}}{\to} & \frac{1}{N} \sum_{t=0}^{N-1} \sum_{k=0}^{N-1} e^{2\pi i \varphi t} e^{-2\pi i k t/N} |k\rangle \\ &= & \frac{1}{N} \sum_{k=0}^{N-1} \sum_{t=0}^{N-1} e^{2\pi i (\varphi - 0.k_{1}k_{2}...k_{n})t} |k\rangle \\ &= & \frac{1}{N} \sum_{k=0}^{N-1} \frac{e^{2\pi i (\varphi - 0.k_{1}k_{2}...k_{n})N} - 1}{e^{2\pi i (\varphi - 0.k_{1}k_{2}...k_{n})} - 1} |k\rangle \end{aligned}$$

(by geometric summation)

The output distribution in terms of $\Delta := \varphi - 0.k_1 k_2 \dots k_n$ $\left| \frac{1}{N} \frac{e^{2\pi i (\varphi - 0.k_1 k_2 \dots k_n)N} - 1}{e^{2\pi i (\varphi - 0.k_1 k_2 \dots k_n)} - 1} \right|^2 = \left| \frac{1}{N} \frac{e^{\pi N i \Delta} - e^{-\pi N i \Delta}}{e^{\pi i \Delta} - e^{-\pi i \Delta}} \right|^2$ $= \left| \frac{1}{N} \frac{\sin(\pi N \Delta)}{\sin(\pi \Delta)} \right|^2$ $= \left| \frac{\sin(\pi N \Delta)}{\sin(\pi \Delta)} \right|^2$

(multiply by $\frac{e^{-\pi N i \Delta}}{e^{-\pi i \Delta}}$ under $|\cdot|$) $(e^{ix} - e^{-ix} = 2\sin(x))$

 $(\operatorname{sinc}(x) = \sin(x)/x)$

Heavy tail

Although, we get the best two estimates with high probability, the distribution has a heavy tail:



Quantum Phase Estimation – error probabilities

The probability of obtaining estimate off by Δ

We just computed it for $\Delta \in \left[-\frac{1}{2}, \frac{1}{2}\right)$:

 $\frac{\mathrm{sinc}^2(\pi N\Delta)}{\mathrm{sinc}^2(\pi\Delta)}$

► The probability of obtaining the best *n*-bit estimate is when $|\Delta \mod 1|$ is the smallest. The worst case is when $\Delta_{\min} = \frac{1}{2N}$:

$$\frac{\operatorname{sinc}^{2}(\pi N \Delta_{\min})}{\operatorname{sinc}^{2}(\pi \Delta_{\min})} \geq \frac{\operatorname{sinc}^{2}(\pi N \frac{1}{2N})}{\operatorname{sinc}^{2}(\pi \frac{1}{2N})} \geq \operatorname{sinc}^{2}(\pi/2) = (\frac{1}{\pi/2})^{2} = \frac{4}{\pi^{2}} > 40\%$$

► The probability of obtaining one of the two best *n*-bit estimates corresponds to Δ_{\min} , $\frac{1}{N} - \Delta_{\min}$. The worst case is once again when $\Delta_{\min} = \frac{1}{2N}$:

$$\frac{\sin^2(\pi N \Delta_{\min})}{\sin^2(\pi \Delta_{\min})} + \frac{\sin^2(\pi N(\frac{1}{N} - \Delta_{\min}))}{\sin^2(\pi(\frac{1}{N} - \Delta_{\min}))} \ge 2\frac{\sin^2(\pi N\frac{1}{2N})}{\sin^2(\pi\frac{1}{2N})} \ge 2(\frac{1}{\pi/2})^2 = \frac{8}{\pi^2} > 80\%$$

Boosting

The median trick

Suppose our estimator outputs an ε -precise estimate with probability at least 80%.

- ▶ Take *s* independent estimates, and compute their median.
- The expected number of estimates within ε -precision is at least 80%.
- It is exponentially unlikely in s that at least 50% of estimates are farther than ε.
 (See the Chernoff bound.)
- When more than 50% of estimates are ε -precise their median is also ε -precise!

Median on the cycle?

- Output the most frequently seen element (in case of a tie, choose one randomly).
- ► It is exponentially unlikely that the most frequently seen estimate is not one of the two best *n*-bit estimates (as they have jointly probability ≥ 80%).
- The output distribution is exponentially concentrated on the two best estimates!

Unfortunately, we cannot ensure that that we get a unique estimate with high probability!

Unbiased (symmetric) estimator

The random shift trick

Input: $|\psi(\phi)\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{i\phi k} |k\rangle$ (for unknown ϕ), and a parameter $n \in \mathbb{N}$

- 1: Sample a uniformly random *n*-digit binary number $u \in [0, 1)$ and define $\xi := \frac{2\pi u}{N}$
- 2: Apply multi-phase gate $\sum_{k=0}^{N-1} e^{-i\xi k} |k\rangle \langle k|$ to $|\psi(\phi)\rangle$
- 3: Perform inverse Fourier transform over \mathbb{Z}_N and measure the state, yielding outcome *j*
- 4: Return $\varphi := \frac{2\pi j}{N} + \xi = \frac{2\pi}{N}(j+u)$

Median on the cycle?

Theorem (Unbiased Phase Estimation – Apeldoorn, Cornelissen, G, Nannicini (2022))

If we run the above Algorithm with $n = \infty$ in Line 1, then it returns a random phase $\varphi \in [0, 2\pi)$ with probability density function

$$f(\varphi) := \frac{N}{2\pi} \frac{\operatorname{sinc}^2(\frac{N}{2}|\phi - \varphi|_{2\pi})}{\operatorname{sinc}^2(\frac{1}{2}|\phi - \varphi|_{2\pi})}.$$

Applications: (almost) optimal coherent tomography, improved estimation algorithms for partition functions, low-depth amplitude estimation, etc.

The continuous probability density function of estimates



Figure: Plot of $f(\varphi)$ for $x = \phi - \varphi$ and M = 16.

Can you boost it while keeping the distribution symmetric?

Connecting Discrete and Continuous Fourier Transforms

Discrete vs. Continuous Fourier Transform

The Continuous Fourier Transform ${\mathcal F}$

$$\hat{f}(\omega) = rac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} e^{-i\omega t} f(t) dt$$

 $\mathcal{F}: \mathcal{L}_2 \to \mathcal{L}_2$ is a unitary transformation (on the Hilbert space of square integrable functions)

Periodic Wrapping of Continuous Functions

Let $f : \mathbb{R} \to \mathbb{C}$, and $r \in \mathbb{R}_+$ be a "period". We define its wrapping as a function $[0, r] \to \mathbb{C}$

$$f^{(r)}(x) := \lim_{\mathbb{N} \ni K \to \infty} \sum_{k=-K}^{K} f(x+kr).$$

Similarly we define discretized wrapping for $N \in \mathbb{N}$ as a vector in \mathbb{C}^N defined as

$$f_j^{(r,N)} := \lim_{\mathbb{N} \ni K \to \infty} \sum_{k=-K}^K f(\frac{j}{N}r + kr)$$

Discrete vs. Continuous Fourier Transform

Connection between Discrete and Continuous Fourier transform

$$\widehat{f^{(T,N)}} = rac{\sqrt{2\pi N}}{T} \widehat{f}^{(rac{2\pi N}{T},N)}$$

Terms and conditions apply, but certainly holds for smooth rapidly decaying functions. For more details see Chen, Kastoryano, Brandão, G (2023).

A Commutative Diagram Representation (ignoring the scalar factor)

$$f \xrightarrow{\mathcal{F}} \hat{f}$$

$$\downarrow \text{discretized periodic} \downarrow \text{wrapping}$$

$$f(T,N) \xrightarrow{F_N} \frac{\sqrt{2\pi N}}{T} \hat{f}(\frac{2\pi N}{T},N)$$

Continuous Fourier Transform: shift ↔ point-wise phase multipl.

Set T = N = 64 and $\varphi = 6/37$ – absolute amplitude plot on the circle



Shifted (discretized) Gaussian $f(t) \propto \exp(-(t - 32)^2/256),$ and its Fourier Transform $|\widehat{f^{(N,N)}}_j| \propto \exp(-(2\pi j)^2/96).$



Shifted, phase kicked Gaussian

$$f(t) \propto \exp(\frac{12\pi}{37}it - (t - 32)^2/256),$$

and its Fourier Transform

 $|\widehat{f^{(N,N)}}_j| \propto \exp(-(2\pi j)^2/96).$

The key observation

In the Gaussian case due to the rapid decay of the tail we have:

$$f_{|[0,T)}^{(T,N)} \approx f^{(T,N)} \quad \widehat{f^{(T,N)}} = \frac{\sqrt{2\pi N}}{T} \widehat{f}^{(\frac{2\pi N}{T},N)} \quad \widehat{f}^{(\frac{2\pi N}{T},N)} \approx \widehat{f}_{|[-\pi,\pi)}^{(\frac{2\pi N}{T},N)}$$

With phase shift

Let us introduce

$$\begin{split} f_{\varphi}(t) &:= f(t) \cdot e^{2\pi i \cdot \varphi t} \\ f_{\varphi}^{(T,N)} \approx f^{(T,N)} \quad \widehat{f_{\varphi}^{(T,N)}} = \frac{\sqrt{2\pi N}}{T} \widehat{f}_{\varphi}^{(\frac{2\pi N}{T},N)} \quad \widehat{f}_{\varphi}^{(\frac{2\pi N}{T},N)} \approx \widehat{f}_{\varphi}^{(\frac{2\pi N}{T},N)} \\ \varepsilon \mid [2\pi(\varphi - \frac{1}{2}), 2\pi(\varphi + \frac{1}{2})) \end{split}$$

Note that changing $\varphi \pm 1$ does not change anything due to periodic wrapping!

Compare to vanilla phase estimation

Set N = 16 and $\varphi = 6/37$ – absolute amplitude plot on the circle



No phase shift, i.e., $\varphi = 0$

Phase shift: $\varphi = 6/37$

Bonus in the Gaussian case: we can increase the resolution cheaply! Increase N, keep T = N and do not change the Gaussian function just its wrapping. This does not change the "query" complexity just requires a larger QFT.

Gaussian parameters

Let $\sigma \approx \sqrt{\log(1/\delta)}/\varepsilon$ and $f(t) \propto \exp(-t^2/(2\sigma^2))$. Then

 $\hat{f}(\omega) \propto \exp(-\sigma^2 \omega^2/2).$

Implying that the absolute amplitude of $|j\rangle$ in $\widehat{f^{(N,N)}}$ is roughly proportional to $(\omega \leftarrow \frac{2\pi j}{N})$ $\exp(-\sigma^2(2\pi j/N)^2/2).$

Gaussian tail bound

$$\int_{x}^{\infty} \frac{1}{\sigma} e^{-t^{2}/(2\sigma^{2})} dt \leq \frac{1}{\sigma} \int_{x}^{\infty} \frac{t}{x} e^{-t^{2}/(2\sigma^{2})} dt = \frac{\sigma}{x} \int_{x}^{\infty} \frac{t}{\sigma^{2}} e^{-t^{2}/(2\sigma^{2})} dt = \frac{\sigma}{x} e^{-x^{2}/(2\sigma^{2})}$$

To get δ accuracy we need about $\sqrt{\log(1/\delta)}\sigma \approx \log(1/\delta)/\varepsilon$ uses of U.

If $N = \Omega(\sqrt{\log(1/\delta)\sigma}) = \Omega(\log(1/\delta)/\varepsilon)$, then due to the above tail bound the truncated and the wrapped (discrete) Gaussians are $O(\delta)$ close to each other.

High accuracy phase estimation in a single run

Idea: use Gaussian amplitudes

- ▶ Wrapped Gaussian is almost the same as truncated Gaussian due to rapid decay.
- ► Fourier transform of a Gaussian is Gaussian, so we get Gaussian noise!
- Choosing parameters appropriately we get an estimator with standard deviation about 1/N (up to logarithmic factors) in a single run without garbage ancilla states.
- ▶ Initial Gaussian amplitudes can be efficiently prepared see McArdle, G, Berta (2022)
- Further optimized initial weights can give potential constant improvements: use Kaiser window function from signal processing. See Berry et al. (2022).

Application to Energy Estimation

Hamiltonian Simulation Using Block Encodings

Suppose we are given a unitary V block encoding of a Hamiltonian H

 $H = (\langle \bar{0} | \otimes I) V (| \bar{0} \rangle \otimes I)$

- Quantum signal processing efficiently implements e^{itH} by $O(t + \log(1/\varepsilon))$ uses of V.
- ► For more details see next week Ewin's lectures.

Using phase estimation we get an ε -precise energy estimate using $\widetilde{O}(1/\varepsilon)$ uses of U.

Application to Singular Value Estimation

Block Encoding of an arbitrary matrix

Suppose we are given a unitary V block encoding a (rectangular) matrix A

 $A = (\overline{\langle 0 |^{\otimes a} \otimes I }) V(|0\rangle^{\otimes b} \otimes I)$

Singular vector estimation

Consider the singular value decomposition

$$\mathsf{A} = \sum_{j} \sigma_{j} |u_{j} ig ig v_{j}|,$$

where $\sigma_j \ge 0$ are the singular values and $|u_j\rangle$, $|v_j\rangle$ are the left and right singular vectors.

- ► Similarly to phase estimation we wish to estimate the singular value of a given (right) singular vector |v_j⟩
- Similar performance to phase estimation, except we get estimates of ±*σ_j*. See Kerenidis and Prakash (2016), Chakraborty, G, Jeffery (2018), Cornelissen and Hamoudi (2022).